



राष्ट्रीय राजधानी क्षेत्र योजना बोर्ड

NATIONAL CAPITAL REGION PLANNING BOARD

प्रथम तल, कोर-4 बी / 1st Floor, Core-4 B,
भारत पर्यावास केन्द्र / India Habitat Centre,
लोधी रोड़, नई दिल्ली-110003 / Lodhi Road, New Delhi-110003
आवासन और शहरी कार्य मंत्रालय / Ministry of Housing and Urban Affairs
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No. Y-170101/15/2020(E-9100764)

Dated 23.06.2023

CIRCULAR

Subject: Environmental and Social Guidelines

With an objective to address the environmental & social issues pertaining to infrastructure projects, National Capital Region Planning Board (NCRPB) had adopted an Environmental & Social Management System (ESMS) in April 2010 for all NCRPB funded projects.

2. The erstwhile ESMS guidelines had many references to donors viz. ADB/KfW which are not relevant currently. Accordingly, in order to simplify the ESMS aspect related requirement of documents, NCRPB has revised the Environment & Social Guidelines making it compliant with Government of India laws, as applicable. A copy of which was circulated on 19.06.2023. However, no formats were circulated.
3. In supersession of all earlier ESMS framework/guidelines including the above, updated copy of the Environment & Social Guidelines along with the following necessary '**Standard Formats**' is attached herein, for information and necessary action:
 - i). Certificate of Compliance with Laws
 - ii). Certificate from Implementing Agency (IA)
4. All participating State Governments and their Implementing Agencies (IAs) are, therefore, requested to follow and address the requirements of these Environment & Social Guidelines at the time of submission of new projects for financial assistance from NCRPB. NCR Cells are required to submit an '**Assessment Note**' (as attached) for each project while submitting project proposal for NCRPB's financial assistance.
5. These Environmental and Social Guidelines shall also be applicable to all new projects which have been received by NCRPB for financial assistance and not yet placed before PSMG.
6. This issued with the approval of competent authority.


(Jagdish Parwani)
Director (A&F)

To

As per list enclosed.

To

Members of PSMG-I

1. The Secretary (HUA), Ministry of Housing and Urban Affairs, Nirman Bhawan, New Delhi.
2. The Additional Secretary (D&UT), Ministry of Housing and Urban Affairs, Room No. 125-C, Nirman Bhawan, New Delhi.
3. The Joint Secretary (UD), NITI Aayog, Government of India, Room No. 233, Sansad Marg, New Delhi-110001.
4. The Jt. Secretary & Financial Advisor, Ministry of Housing and Urban Affairs, Room No. 141-C, Nirman Bhawan, New Delhi.
5. The Additional Chief Secretary to Govt., Haryana, Town & Country Planning Department, Town & Country Planning Deptt., Room No. 303, 3rd Floor, New Secretariat Building, Sector-17, Chandigarh-160017.
6. The Principal Secretary, Urban Development & Housing Department, Room No. 5101, Main Building, Opp. To Lokayukt Building, Government Secretariat, Jaipur-302005.
7. The Additional Chief Secretary, Housing & Urban Planning Department, Government of Uttar Pradesh, Room No. 101, Lal Bahadur Shastri Bhawan, Uttar Pradesh Secretariat, Lucknow-226001 (UP)
8. The Secretary, Urban Development Department, 9th Floor, C-Wing, Delhi Sachivalaya, I.P. Estate, New Delhi-110002.
9. The Addl. Chief Planner, Town & Country Planning Organization, E-Block, Vikas Bhawan, I.P. Estate, New Delhi-110002.
10. PS to Member Secretary, NCRPB – for kind information of MS.

Implementing Agencies (IAs)

Haryana

1. The Additional Chief Secretary to Govt. of Haryana, PHED Haryana, Room No. 334, 3rd Floor, Sector-17, New Haryana Civil Secretariat, Chandigarh-160017.
2. The Additional Chief Secretary to Govt. of Haryana, PWD (B&R) Department, Room No. 503, 5th Floor, Haryana New Secretariat Building, Sector-17, Chandigarh-160017.
3. The Engineer-in-Chief, Public Health Engineering Department, Bay No. 13-18, Sector 4, Panchkula, 134112, Haryana
4. The Managing Director, Haryana State Roads and Bridges Development Corporation Ltd. Bays No. 13-14, Sector-2, Panchkula.
5. The Managing Director, Haryana Vidyut Prasaran Nigam Limited, Shakti Bhawan, Sector-6, Panchkula-134109.

Rajasthan

6. The Additional Chief Secretary, Public Health Engineering & Ground Water Deptt., Room 3205, Main Building Secretariat, Jaipur-302005.
7. The Principal Secretary, PWD Rajasthan, Govt. of Rajasthan, Room No. 2024, Main Building, Secretariat, Jaipur-302005.
8. The CE(Roads) & Addl. Secretary, Public Works Department, Room No. 101, A Block, Nirman Bhawan, Jaipur.
9. The Chief Managing Director, Rajasthan Rajya Vidyut Prasaran Nigam Ltd., Vidyut Bhawan, Janpath, Jaipur - 302 005, Rajasthan
10. The Managing Director, Jaipur Vidyut Vitran Nigam Limited, Vidyut Bhwan, Jyoti, Nagar, Jaipur.

Delhi

11. The Commissioner, Municipal Corporation of Delhi, Dr. S.P. Mukherjee Civic Centre, JLN Marg, New Delhi-110002.

Uttar Pradesh

12. The Chief Executive Officer, Greater Noida Industrial Development Authority, Plot No. 01, Knowledge Park-04, Greater Noida, Gautam Budh Nagar, Uttar Pradesh 201308.
13. The Managing Director, Noida Metro Rail Corporation Ltd., Block-III, 3rd Floor, Ganga Shopping Complex, Sector-29, Noida 201301.

CMAs

14. The Commissioner, Jaipur Development Commissioner, Ram Kishor Vyas Bhawan, Indra Circle, Jawaharlal Nehru Marg, Jaipur-302004.
15. Chairman & Managing Director, Jaipur Metro Rail Corporation Limited, Admin Building, Metro Depot, Bhrigu Path, Mansarovar, Jaipur-302020
16. The Commissioner, Gwalior Municipal Corporation, Narayan Krishna Shejwalkar, Prashnasnik Bhawan, Nagar Nigam Office, City Center, Gwalior-474001.
17. The Chief Administrator, PUDA, Room No.101, 1st Floor, PUDA Bhawan, Sector- 62, SAS Nagar Mohali, Punjab, India.
18. The Adviser, Civil Aviation Department, 3rd Floor, 30 Bays Building, Sector 17-B, Chandigarh.
19. The Vice Chairman, Bareilly Development Authority, Vikas Jyoti, Priyadarshini Nagar, Pilibhit Road, Bareilly – 243122.
20. The Director, Urban Development Directorate, 31/62 Rajpur Road, Dehradun, Uttarakhand-248001.
21. The Commissioner, Directorate of Urban Administration & Development, Madhya Pradesh, Third Floor, Palika Bhawan, Shivaji Nagar, Bhopal, Madhya Pradesh– 462016.

NCR Planning & Monitoring Cells

22. The Commissioner, NCR Planning & Monitoring Cell, Government of Uttar Pradesh, 2nd Floor, Nagar Nigam Office, Navyug Market, Ghaziabad-201001, Uttar Pradesh.
23. The Chief Coordinator Planner, NCR Planning & Monitoring Cell, Directorate of Town & Country Planning Haryana, 2nd Floor, Block A, Nagar Yojna Bhawan, Plot No. 3, Sector 18A, Madhya Marg, Chandigarh-160018.
24. The Chief Town Planner, NCR Planning & Monitoring Cell, Govt. of Rajasthan, Nagar Niyojna Bhawan, Jawaharlal Nehru Marg, Jaipur-302004, Rajasthan.
25. The Director (Planning), Urban Development Department, 9th Floor, C-Wing, Delhi Sachivalaya, I.P. Estate, New Delhi-110002.

NCR Planning Board

1. Chief Regional Planner
2. Deputy Director (T-URP)/IC-PMC
3. Finance & Accounts Officer
4. Deputy Director (T-AS)
5. Consultant/IC-DD(Admn)
6. Deputy Director (T-NM)
7. Assistant Director (T-NK)

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NATIONAL CAPITAL REGION PLANNING BOARD

Environmental and Social Guidelines of NCRPB

1. Background

The National Capital Region Planning Board (NCRPB), constituted for the development of the National Capital Region. It is one of the functions under the provisions of NCRPB Act, 1985 to arrange and oversee the financing of selected development projects in NCR through Central and State Plan Funds and other sources of revenue. Further the Act also mandate NCRPB for providing financial assistance to the state concerned for the development of the Counter Magnet Area Accordingly, NCRPB is providing financial assistance to the NCR participating States, Union Territory and Counter Magnet Areas for the development of infrastructure projects in the form of loans, up to a maximum of 75% of the estimated cost of Projects, while balance share of 25% or more as applicable needs to be contributed by the concerned State Government/Implementing Agency (IA).

2. Initiation of Project

Implementing Agency formulates the project proposal and approaches NCRPB through concerned NCR Planning and Monitoring Cell of NCR participating States, to avail financial assistance. It is the responsibility of IA to prepare project proposal including Detailed Project Report (DPR) as necessary. Similarly, it will be the responsibility of IA to prepare necessary documents and comply with the applicable provisions of safeguards requirements.

3. Revising Environmental and Social Management System (ESMS) document to make it more suitable and effective

3.1 The NCRPB established an ESMS document on 1st April, 2010 to meet the environmental safeguard requirements of the donors such as the multi-lateral agencies (ADB, World Bank, etc.) and the bilateral Agencies (KfW, JBIC, etc.) for availing the funds to finance the development projects. In the ESMS

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document, it was clearly mentioned that this will be periodically updated particularly when existing legal requirements are modified or new legislation are introduced. NCRPB's check of environmental clearance is done as good practice and not as a legal requirement.

- 3.2 It is pertinent to mention here that the ESMS procedure has not been revised since 2010. The previous procedure (ESMS) was complicated, lengthy and were as per the requirement of the Donor agencies. In government policy, there is no specific environmental requirement on financial institutions such as having an environmental management system or an environmental framework. Therefore, NCRPB's check of environmental clearance is done as good practice and not as a legal requirement, the latter being primarily a responsibility of Implementing Agency.
- 3.3 As of now, the projects are directly financed by the NCRPB. Therefore, there is requirement to revise the existing provisions to make it less complicated and precise so that the Implementing Agencies can avail the funds from NCRPB and have no issue in adopting the procedure.

4. Legal Requirements – Environmental & Social

- 4.1 The overall legal responsibility lies with the IA. As a part of its overall requirements, IA needs to ensure that the project is in compliance with legal requirements in order to safeguard environment and social issues. The IA/State should take necessary action to ensure that social and environment issues are safeguarded appropriately as per applicable guidelines issued by MoEF&CC, and provisions of the Land Acquisition and Rehabilitation and Settlement Act, 2013 (LARR-2013), and amendments from time-to-time.
- 4.2 NCRPB henceforth shall follow the new Environment and Social Guidelines which will address the required environmental and social aspects wherein Implementing Agencies has to submit the following documents:
- (i) Certificate of Compliance of Laws, etc. applicable to the borrower

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- (ii) Necessary required environmental clearances shall be arranged by IA in a timely manner and IA shall ensure that the project shall be implemented as per the schedule and give certificate to this effect
 - (iii) IA shall ensure compliance with the same along with other rules such as pollution (State Pollution Control Board), Social Safeguard, etc., as applicable and give certificate to this effect.
 - (iv) The documents (in the standard formats) shall be required at the time of execution of Loan Agreement and before disbursement of loan.
- 4.3 Once the project is submitted, NCRPB will appraise the projects approached for funding, which will involve the appraisal pertaining to planning, financial and environmental & social aspects. It is on the basis of this appraisal that NCRPB sanctions the loan.
- 4.4 Implementing Agency will be responsible to prepare and submit compliance report/certificate with respect to environmental and social aspects along with Utilisation Certificate.
- 4.5 The physical and financial progress of the project is to be checked by NCRPB through the geo-tagged photographs and utilization certificate/completion certificate submitted by the IA at the time of fund disbursals/completion.

Handwritten signature
23/06/2023

STANDARD FORMATS

(As per NCRPB's Environment and Social Guidelines)

- 1. CERTIFICATE OF COMPLIANCE WITH LAWS**
- 2. CERTIFICATE FROM IMPLEMENTING AGENCY (IA)**

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CERTIFICATE OF COMPLIANCE WITH LAWS**(Applicable to the borrower)**

It is certified that all rules, regulations, guidelines, etc. as applicable to the borrower, in order to comply with Central and State Laws and Bye-Laws, etc., for the loan assistance sanctioned by NCRPB vide Order/letter No. _____ dated _____ for the project/work of “ _____ ” in _____ sub-region would be fully complied by the State Government of _____ / IA _____.

(Signature and Seal of the MD/CEO/HoD of IA)

CERTIFICATE FROM IMPLEMENTING AGENCY (IA)

(Strike out whichever is not applicable)

It is certified that:

1. Project falls under Category 'A' or 'B1' or B2' of MoEF Notification dated 14.09.2006, as amended from time to time.
2. Environmental Impact Assessment (EIA) and Social Impact Assessment (SIA), as applicable, is carried out / yet to be carried out OR not required.
3. Necessary required environmental clearances/NOCs (including pollution, tree cutting, forest clearance, permission for establishment & operation, etc.) will be arranged/ have been obtained from the State Pollution Control Board (SPCB), State Forest Department, MoEF&CC, or the concerned Departments/ Agencies, etc. as applicable. *(Please attach a copy of clearances/ NOCs/ permission, etc., if available)*
4. Compliance with all environmental (including pollution and forest) and social safeguard laws, rules, regulations, guidelines, etc. as applicable will be done.
5. The project shall be executed for the public welfare, as per the Schedule, by adhering to environmental and social safeguards guidelines/laws, as applicable.
6. The project is feasible at the site. No land acquisition or limited/ substantial land acquisition is involved. In case of any land acquisition and rehabilitation, necessary action will be taken by the State Govt./IA under the applicable Central/ State Laws.

**(Signature and Seal of the Authorized/
Dealing Officer of IA)**

023/06

ASSESSMENT NOTE

(By NCR Planning and Monitoring Cell of _____)

S.No.	Checklist	Remarks
a)	To check, examine, and certify that all infrastructure projects for which loan is being requested to NCRPB are in conformity with the Regional Plan/ Functional Plan of the sector/Master Plan of the area, as applicable (covering the NCZ aspect as well).	
b)	Necessary administrative & financial approvals/ sanctions of the State Govt. and all departments which are involved in the project implementation.	
c)	Appropriate Certification by the implementing agency/loan borrowing agency with regard to the following: -	
	i) That the project has not been initiated already and shall be taken up only after loan from NCRPB.	
	ii) That the project has not been taken up already for financial assistance under any other scheme.	
d)	A hard copy and soft copy of the Detailed Project Report (DPR) which is complete in respect of its basic requirements such as:	
	i) Executive Summary	
	ii) Project Background	
	iii) Proper Justification	
	iv) Need for the Project	
	v) Benefits & outcomes expected from the project	
	vi) Supporting feasibility report, if any	
	vii) Necessary maps, drawings	
	viii) Cost details	
	ix) Proper project implementation Plan in physical & financial terms (PERT/CPM Charts)	
	x) EIRR/FIRR Calculations	
	xi) Loan drawl schedule	
e)	Appropriate Certificate that the project falls under Category 'A' or 'B1' or B2' of MoEF Notification dated 14.09.2006.	
f)	Environmental Impact Assessment (EIA) has been carried out / yet to be carried out OR is not required.	
g)	Social Impact Assessment (SIA) has been carried out / yet to be carried out OR is not required.	
h)	Copy of NOCs/ approvals, etc. from various Departments, as available.	

