

## SOCIAL (IR & IP) CATEGORIZATION

### A. Instructions

- (i) This form is to be completed by ESMC based on social screening checklist submitted by IA
- (ii) The social categorization of a project is a continuing process. If there is a change in the components or/and site of a project that may result in category change, the IA should submit new/updated social screening checklist, based on which ESMC will complete a new social categorization form. The old form should be attached for reference.

### B. Project Data

Project No. \_\_\_\_\_

Sector/ Project Title:

Borrower/Implementing Agency:

Lending Modality:  Project Loan       Others

### C1. Involuntary Resettlement (IP) Category as per NCRPB ESMS

- New     Recategorization --- Previous Category \_\_\_\_\_
- Category S1 [200-400 APs (in plain areas) & 100-200 APs (in tribal/hilly areas)]
- Category S2 [<200 APs (in plain areas) & <100 APs (in tribal/hilly areas)]
- Category S3
- Additional information is needed for categorization; in the interim, the project is classified as:
- Category S1/S2                       Social Specialist of ESMC will visit the project site
- Category S2/S3

### C2. Basic Social (IR) Assessment Requirements as per NCRPB ESMS

**Please check one of category S1, S2, S3**

- Category S1:**
- Resettlement Plan (RP)
  - Public Consultation
  - RP implementation monitoring by external agency
- Category S2:**
- Short Resettlement Plan (SRP)
  - Public Consultation
  - Internal monitoring of SRP implementation & report to NCRPB
- Category S3:**
- None

### C2. Impacts on Indigenous People (IP)

- S1 (Project likely to have significant impacts on IP)
- S2 (Majority beneficiaries of the project are IPs & unlikely negative impacts on IPs)
- S3 (No IPs in the project area / no impacts on IPs)
- Additional information is needed
- Social Specialist of ESMC will visit the project site

**C2. Basic Social (IP) Assessment Requirements as per NCRPB ESMS**

*Please check one of category S1, S2, S3*

- Category S1:**
  - IPP (Indigenous Peoples Plan)
- Category S2:**
  - Summary Note of IP in DPR
- Category S3:**
  - None

**E. Specific Advise to IA, if any**

**F. Enclosed Reference Documents**

- (i) IR Screening checklist
- (ii) IP Screening Checklist

**G. Signatures**

**Category Assigned by:**

**Approved by:**

\_\_\_\_\_  
**Head, ESMC**

\_\_\_\_\_  
**Director, Projects**

**Date:** \_\_\_\_\_

**Date:** \_\_\_\_\_